# <span id="page-0-0"></span>Rate of convergence for quasi-periodic homogenization of Hamilton–Jacobi equation and application

#### Son Tu

#### Michigan State Univeristy joint with Jianlu Zhang and Bingyang Hu

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## Ergodic estimate

 $\blacksquare$  Given  $\mathbb{F}\in\mathcal{C}(\mathbb{T}^n)$  and  $\xi=(\xi_1,\xi_2\dots,\xi_n)$  be a non-resonant vector, i.e.,  $\xi\cdot\kappa\neq0$ for  $\kappa \in \mathbb{Z}^n \backslash \{0\}$ , then for  $f(x) = \mathbb{F}(\xi x)$  in  $\mathbb{R}$ 

$$
\lim_{T\to\infty}\frac{1}{T}\int_0^T\mathbb{F}(\xi x)\,dx=\mathfrak{M}(f):=\int_{\mathbb{T}^n}\mathbb{F}(x)\,dx.
$$

 $\bullet$  If  $\mathbb F$  is unbounded, then what about

$$
\lim_{T \to \infty} \frac{1}{T} \int_0^T \frac{dx}{\mathbb{F}(\xi x)} = \mathcal{M}(f^{-1}) := \int_{\mathbb{T}^n} \frac{dx}{\mathbb{F}(x)}
$$

given that  $x \mapsto \frac{1}{F(\xi x)}$  is well-defined in  $\R$ ?

**8** Rate of convergence? Example (result from our work):  $\mathbb{F}(x_1, x_2) = (2 - \sin(2\pi x_1) - \sin(2\pi x_2))^{1/2}$  for  $\mathbf{x} = (x_1, x_2) \in \mathbb{T}^2$ , then

$$
\left|\frac{1}{\mathcal{T}}\int_0^{\mathcal{T}}\frac{dx}{\mathbb{F}(\xi x)}-\int_{\mathbb{T}^2}\frac{dx}{\mathbb{F}(x)}\right|\leq \frac{C}{\mathcal{T}^{1/6}}\qquad\textrm{if }\frac{\xi_2}{\xi_1}\textrm{ badly approximable}.
$$

<sup>4</sup> Consequence from homogenization of Hamilton–Jacobi equation

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## Viscosity solutions - Definition

Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, we consider the fully nonlinear PDE

$$
F(x, u, Du, D^2u) = 0 \quad \text{in } \Omega.
$$

F is non-decreasing in u, non-increasing in  $D^2u$  (degenerate elliptic).

 $\rightarrow$  No integration by parts, only maximum principle.

**Subsolution:**  $\varphi \in \mathbb{C}^2$ ,  $u - \varphi$  *max* at *x*:  $F(x, u(x), D\varphi(x), D^2\varphi(x)) \leq 0$ **Supersolution:**  $\psi \in \mathbb{C}^2$ ,  $\mu - \psi$  min at x:  $F(x, u(x), D\psi(x), D^2\psi(x)) \geq 0$ 

**Viscosity solution** is both subsolution and supersolution.



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 $→$  physically correct solution

 $\rightarrow$  value function in optimal control theory

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## Vanishing viscosity - Eikonal equation

The minimal amount of time required to travel from a point to the boundary with constant cost 1 is model by

 $|u'(x)| = 1$  in (-1, 1) with  $u(-1) = u(1) = 0$ .

Infinitely many a.e. solutions, physically correct solution:  $u(x) = 1 - |x|$ .

Approximated equation with unique solution

$$
\begin{cases}\n\left|\left(u^{\varepsilon}\right)'\right| = 1 + \varepsilon(u^{\varepsilon})'' & \text{in } (-1, 1), \\
u^{\varepsilon}(-1) = u^{\varepsilon}(1) = 0.\n\end{cases}
$$

Vanishing viscosity

$$
u^{\varepsilon}(x) = 1 - |x| + \varepsilon \left( e^{-1/\varepsilon} - e^{-|x|/\varepsilon} \right) \to u(x)
$$



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#### Optimal control theory - An infinite horizontal example

Let  $U$  be a compact metric space. A *control* is a Borel measurable map  $\alpha$  :  $[0, \infty) \mapsto U$ . We are given:

> $\int b = b(x, a) : \overline{\Omega} \times U \to \mathbb{R}^n$  velocity vector field  $f = f(x, a) : \overline{\Omega} \times U \to \mathbb{R}$  running cost.

For  $x \in \mathbb{R}^n$  and a control  $\alpha(\cdot)$ , let  $y^{x,\alpha}(t)$  solves

 $\dot{y}(t) = b(y(t), \alpha(t)), \quad t > 0, \quad \text{and} \quad y(0) = x$ 

**Question.** Minimize the cost functional (*λ* ≥ 0)

$$
u(x) = \inf_{\alpha(\cdot)} \int_0^\infty e^{-\lambda s} f(y^{x,\alpha}(s), \alpha(s)) ds.
$$

Define  $H(x, p) = \sup_{y \in H} (-b(x, y) \cdot p - f(x, y))$  then

$$
\lambda u(x) + H(x, Du(x)) = 0
$$
 in  $\mathbb{R}^n$ 

assuming that  $u \in C^{\infty}$  (using optimality or dynamic programming principle). However the value function is usually not smooth!

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## Homogenization

In 1987, Lions, Papanicolaous and Varadhan [\[Lions-Papanicolaou-Varadhan'86\]](#page-28-1) proved the homogenization result for a periodic, coercive Hamiltonian (possibly nonconvex)

$$
\begin{cases} u_t^{\varepsilon} + H\left(\frac{x}{\varepsilon}, Du^{\varepsilon}\right) = 0 & \text{in } \mathbb{T}^n \times \mathbb{R}^n \\ u^{\varepsilon}(x, 0) = u_0(x) & \text{in } \mathbb{T}^n. \end{cases}
$$

As  $\varepsilon \to 0^+$ ,  $u^{\varepsilon} \to u$  and  $u$  solves

$$
\begin{cases} u_t + \overline{H}(Du) = 0 & \text{in } \mathbb{T}^n \times \mathbb{R}^n \\ u(x, 0) = u_0(x) & \text{in } \mathbb{T}^n. \end{cases}
$$

 $\overline{H}(p)$  is the unique constant such that the ergodic (cell) problem can be solve

$$
H(x, p + Dv(x)) = \overline{H}(p) \quad \text{in } \mathbb{T}^n.
$$

 $\overline{H}(p)$  is called:

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- $\bullet$  effective Hamiltonian
- **2** ergodic constant
- $\bullet$  additive eigenvalue of  $H$

<sup>4</sup> *α*-function in dynamical system

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- **6** Máne<sup>'</sup>s critical value
- $\bullet$  . . .

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 $0.8$  $0.6$  $0.4$  $0.2$ 

 $\circ$ 

 $0<sub>2</sub>$  $0.4$  $0.6$  $0.8$ 

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## Homogenization - Example

In 1D, if

$$
H(x,p)=\frac{|p|^2}{2}+V(x),
$$

where

$$
V(x) = \begin{cases} 2x & x \in \left[0, \frac{1}{2}\right], \\ -2x + 2 & x \in \left[\frac{1}{2}, 1\right]. \end{cases}
$$

Then

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$$
|\rho|=\frac{2\sqrt{2}}{3}\left[\left(\overline{H}(\rho)+1\right)^{\frac{3}{2}}-\overline{H}(\rho)^{\frac{3}{2}}\right].
$$

Then  $\overline{H}$  takes the form



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• Introduce  $y = \frac{x}{\varepsilon}$  as a fast variable,  $x = \varepsilon y$  is a slow variable.

• Ansatz: 
$$
u^{\varepsilon}(x, t) = u^{0}(x, y, t) + \varepsilon u^{1}(x, y, t) + \varepsilon^{2} u^{2}(x, y, t) + \dots
$$

• Plug in the equation  $u_t + H(\frac{x}{\varepsilon}, Du) = 0$ 

$$
u_t^0(x, y, t) + H\left(y, D_x u^0(x, y, t) + \varepsilon^{-1} D_y u^0(x, y, t) + D_y u^1(x, y, t)\right) = 0.
$$

• 
$$
D_y u^0 = 0
$$
, i.e.,  $u^0 = u^0(x, t)$  independent of y

$$
H\left(y,\boxed{D_xu^0(x,t)}+D_yu^1(x,y,t)\right) = \boxed{-u_t^0(x,t)}
$$

Ergodic or cell problem (fox a fixed  $(x, t)$ )

$$
H\left(y,\boxed{p}+D_yu^1(y)\right)=\boxed{\overline{H}(p)}
$$

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#### <span id="page-11-0"></span>Homogenization

• The above ansatz gives

$$
u^{\varepsilon}(x,t) \approx u^{0}(x,t) + \varepsilon u^{1}\left(\frac{x}{\varepsilon}\right) + \mathcal{O}(\varepsilon^{2}).
$$

• This means in homogenization as  $\varepsilon \to 0$  then  $u^\varepsilon \to u^0.$ 

 $\bullet\;\; \mathsf{v}=\mathsf{u}^1$  is a corrector

$$
u^{\varepsilon}(x,t)=u(x,t)+\varepsilon v\left(\frac{x}{\varepsilon};Du(x,t)\right).
$$

where

$$
H(x, p + Dv(x; p)) = \overline{H}(p).
$$

Solution  $v$  is not unique (up to adding a constant).

If  $v$  is bounded then (the expected optimal rate)

$$
|u^{\varepsilon}-u|=\mathcal{O}(\varepsilon).
$$

• Via doubling variable method: can prove the convergence, but not the expansion.

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This received quite a lot of attention in the past twenty years. Assume:  $x \mapsto H(x, p)$  is Lipschitz locally in p

- $\bullet$  [\[Capuzzo-Dolcetta-Ishii'01\]](#page-28-2):  $\mathcal{O}(\varepsilon^{1/3})$ , PDE method, nonconvex and multi-scale  $H(x, \frac{x}{\varepsilon}, Du^{\varepsilon}) \to \overline{H}(x, Du)$ . : many works use this method
- $\bullet$   $\mathcal{O}(\varepsilon^{1/2})$  if there is a Lipschitz selection  $p \mapsto v(\cdot,p)$  of the cell problem

$$
H(x, p + Dv(x; p)) = \overline{H}(p).
$$

#### **Convex Hamiltonian**

Li

- $\mathcal{O}(\varepsilon)$  in 1D [\[Mitake-Tran-Yu'19\]](#page-28-3) and [\[Tu'18\]](#page-28-4) for 1D multi-scale.
- Conditional  $\mathcal{O}(\varepsilon)$  under smoothness assumption of  $\overline{H}$  [\[Mitake-Tran-Yu'19\]](#page-28-3). first group utilized optimal control, optimal curve and metric distance
- Optimal rate O(*ε*) [\[Tran-Yu'21\]](#page-28-5). Burago Lemma and the metric distance.
- $\bullet$   $\mathcal{O}(\varepsilon^{1/2})$  for multi-scale using Burago Lemma [\[Han-Jang'23\]](#page-28-6).
- [\[Armstrong-Cardaliaguet-Souganidis'14\]](#page-28-7): followed [\[Capuzzo-Dolcetta-Ishii'01\]](#page-28-2),  $\mathbb{O}(\varepsilon^{1/8})$  for i.i.d, an abstract modulus  $\omega(\varepsilon)$  for the almost periodic (PDE method).

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• For  $f \in \mathrm{BUC}(\mathbb{R}^n)$ , we way it is almost periodic if  $\{f(\cdot+z): z \in \mathbb{R}^n\}$  is relatively compact in  $\mathrm{BUC}(\mathbb{R}^n)$ .

periodic :  $x \mapsto H(x, p)$  is  $\mathbb{Z}^n$  periodic almost-periodic : $\{H(\cdot + z, \cdot) : z \in \mathbb{R}^n\}$  is relatively compact in  $\mathrm{BUC}(\mathbb{R}^n \times B_R(0)).$ 

• In one-dimensional case, for examle

$$
H(x,p) = \frac{|p|^2}{2} - V(x), \qquad V(x) = 2 - \sin(2\pi x) - \sin(2\pi \sqrt{2}x).
$$

• Quasi-periodic potential in 1D:  $x \in \mathbb{R}$ 

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 $V(x) = F(\xi x)$  where  $F \in C^k(\mathbb{T}^k)$ ,  $\xi \in \mathbb{R}^k$  is nonresonant.

The corrector is replaced by almost corrector [\[Ishii'00\]](#page-28-8)

$$
\overline{H}(p)-\delta\leq H(y,p+Dv_{\delta}(y;p))\leq \overline{H}(p)+\delta.
$$

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## <span id="page-15-0"></span>Almost periodic function in 1D

First studied by Bohr (1926):

• For *ε >* 0, *τ* is an *ε*-period, if

 $|f(x + \tau) - f(x)| < \varepsilon$  for all  $x \in \mathbb{R}$ .

We say  $E(\varepsilon, f) = \{ \tau \in \mathbb{R} : |f(x + \tau) - f(x)| < \varepsilon \}$  the set of all  $\varepsilon$ -periods.

•  $f \in AP(\mathbb{R})$  if for  $\varepsilon > 0$ , there exists  $l_{\varepsilon}$  such that, for every  $a \in \mathbb{R}$ 

 $[a, a + l_{\varepsilon}] \cap E(\varepsilon, f) \neq \emptyset$  any interval of length  $l_{\varepsilon}$  has an  $\varepsilon$ -period

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- We say l*<sup>ε</sup>* is an inclusion interval length of E(*ε,* f ).
- **Mean value property** If  $f \in AP(\mathbb{R})$

$$
\lim_{T\to\infty}\frac{1}{T}\int_0^T f(x)dx=\mathcal{M}(f).
$$

• If  $f(x) = F(\xi x)$  is quasi-periodic, then

$$
\lim_{T\to\infty}\frac{1}{T}\int_0^T f(x)dx=\mathcal{M}(f)=\int_{\mathbb{T}^n}F(x)\,dx.
$$

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### Convergence to the mean value

If f is periodic of period 1, then  $\mathcal{M}(f) = \int_0^1 f(x) dx$ , and

$$
\left|\frac{1}{\mathcal{T}}\int_0^{\mathcal{T}}f(x)\,dx-\mathcal{M}(f)\right|\leq \left(\int_0^1f(x)dx\right)\frac{1}{\mathcal{T}}.
$$

Key ingredient for periodic homogenization rate  $\mathcal{O}(\varepsilon)$  in 1D [\[Mitake-Tran-Yu'19,](#page-28-3) [Tu'18\]](#page-28-4).

• (Almost-periodic) For every *ε >* 0

$$
\left|\frac{1}{T}\int_0^T f(x)\,dx-\mathcal{M}(f)\right|\leq \varepsilon+2\|f\|_{L^\infty(\mathbb{R})}\frac{l_\varepsilon(f)}{T}.
$$

Need an estimate of  $l_{\varepsilon}(f)$  with respect to  $\varepsilon$ , but good as only  $L^{\infty}$  is needed.

• (Quasi-periodic) If  $f(x) = \mathbf{F}(\xi x)$  and  $\mathbf{F} \in H^s(\mathbb{T}^n)$  for  $s > \frac{n}{2} + \sigma_{\xi}$  then

$$
\left|\frac{1}{T}\int_0^T \mathbb{F}(\xi x)\ dx - \int_{\mathbb{T}^n} \mathsf{F}(x)\ dx\right| \leq \frac{C(n,s)\|\mathsf{F}\|_{H^s(\mathbb{T}^n)}}{T}.
$$

Here *σ<sup>ξ</sup>* is a Diophantine condition of *ξ*:

$$
\xi \cdot \kappa \geq \frac{C}{|\kappa|^{\sigma}} \qquad \forall \ \kappa \in \mathbb{Z}^n.
$$

Need higher regularity, not applicable for some pote[ntia](#page-15-0)l[s.](#page-17-0)

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# Diophantine Approximations

For almost periodic f

$$
\left|\frac{1}{T}\int_0^T f(x)\,dx-\mathcal{M}(f)\right|\leq \varepsilon+2\|f\|_{L^\infty(\mathbb{R})}\frac{l_\varepsilon(f)}{T}.
$$

For quasi-periodic  $f(x) = \mathbf{F}(\xi x)$  with  $\mathbf{F} \in C^{0,\alpha}(\mathbb{T}^n)$ 

• [\[Nai96\]](#page-28-9)  $n = 2$ , badly approximable (null set)

$$
I_{\varepsilon}(f)\leq C\varepsilon^{\frac{-1}{\alpha}}
$$

<sup>2</sup> [\[Ryn98\]](#page-28-10) almost every *n*-frequencies

$$
I_{\varepsilon}(f) \leq C \varepsilon^{-\frac{n-1}{\alpha}} |\log(\varepsilon)|^{3(n-1)}
$$

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Rate of convergence in 1D almost periodic

**Theorem** (Hu-Tu-Zhang '24): In 1D with H is convex, coercive  $(\frac{1}{2}|\rho|^2$  for simplicity)  $H(x, p) = \frac{|p|^2}{2}$  $V(x) = V(x),$   $V(x) = V(\xi x), V \in C(\mathbb{T}^n), V \ge 0.$ There is  $C(n, \alpha, \xi, V)$  such that  $u^{\varepsilon}(x,t) - u(x,t) \geq$  $\sqrt{ }$ J  $\mathcal{L}$  $\mathbb{V}^{1/2} \in H^s(\mathbb{T}^n), s > n/2 + \sigma_{\xi},$  $-C\varepsilon^{\frac{\alpha}{\alpha+n-1}}|\log(\varepsilon)|^{3(n-1)}$  for a.e.  $\xi, \mathbb{F} \in C^{\alpha}(\mathbb{T}^n)$ ,  $-C\varepsilon^{\frac{\alpha}{\alpha+1}}$   $n=2, \xi$  badly approximable. If  $\overline{H}\in \mathcal{C}^{1,\beta}(\mathbb{R})$  then  $u^{\varepsilon}(x,t) - u(x,t) \leq$  $\sqrt{ }$  $\int$  $\overline{a}$  $C\varepsilon^{\frac{\beta}{\beta+1}}$   $\qquad \qquad \mathbb{V}^{1/2} \in H^s(\mathbb{T}^n), s > n/2 + \sigma_{\xi},$  $C \varepsilon^{\frac{\beta}{\beta+1}} \frac{\alpha}{\alpha+n-1} |\log(\varepsilon)|^{3(n-1)}$  for a.e.  $\xi, \mathbb{F} \in C^{\alpha}(\mathbb{T}^n)$ ,  $C \varepsilon^{\frac{\beta}{\beta+1}} \frac{\alpha}{\alpha+1}$  n = 2, *ξ* badly approximable.

#### Place in the literature

- $\bullet$  First algebraic rate for almost periodic setting (only abstract modulus rate, PDE method in the literature).
- **2** the relation between how irrational [of](#page-17-0)  $\xi$  and the reg[ular](#page-17-0)i[ty](#page-19-0) of  $\mathbb{Y}$  $\mathbb{Y}$  $\mathbb{Y}$  [is](#page-19-0) [i](#page-11-0)[n](#page-12-0)[tr](#page-24-0)[ic](#page-25-0)a[te](#page-12-0)[.](#page-24-0)  $QQ$

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## Case study

**Examples** 
$$
\mathbb{V}(x, y) = (2 - \sin(2\pi x) - \sin(2\pi y))^{\gamma}
$$
 and  $\xi = (1, \sqrt{2})$ .

$$
H(x,p)=\frac{|p|^2}{2}-\left(2-\sin(2\pi x)-\sin(2\pi\sqrt{2}x)\right)^{\gamma}, \qquad \gamma>0.
$$

Consider the homogenization problem in 1D

$$
\begin{cases}\nu_t^{\varepsilon} + H\left(\frac{x}{\varepsilon}, Du^{\varepsilon}\right) = 0 & \longrightarrow \begin{cases}\nu_t + \overline{H}(Du) = 0\\u^{\varepsilon}(x, 0) = u_0(x)\end{cases}\n\end{cases}
$$

Then

| $\gamma > 2$ | $-C\varepsilon \leq u^{\varepsilon} - u \leq C\varepsilon^{\tau}, \quad \tau = \frac{\gamma - 2}{3\gamma - 2}$  |
|--------------|---|
| $\gamma = 2$ | $-C\varepsilon \leq u^{\varepsilon} - u \leq \frac{C}{ \log(\varepsilon) }$   |
| $\gamma < 2$ | $u^{\varepsilon} - u \geq \begin{cases} -C\varepsilon^{\frac{\gamma}{\gamma + 1}}, & \gamma \in (0, 1), \\ -C\varepsilon^{1/2}, & \gamma \in [1, 2]. \end{cases}$ |

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$$
\boxed{\frac{v_p(t)}{t} = 0 \left(\frac{1}{t^{\alpha}}\right) \text{ as } t \to \infty} \leq u^{\varepsilon} - u \leq \left\{\begin{matrix} \text{shape and regularity of }\overline{H} \\ \text{averaging optimal path}: \\ \left|\frac{\eta(t)}{t} - \overline{H}'(p)\right| \leq 0 \left(\frac{1}{t^{\beta}}\right). \end{matrix}\right.
$$

**0** Lower bound is easy: decay rate of correctors and Hopf-Lax formula

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## $\mathcal{M}(f)$

<sup>2</sup> Upper bound is harder: long time average of characteristic (calibrated curve)

 $\mathfrak{M}(f^{-1})$ 

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Shape of  $\overline{H}$ 

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To compute  $\overline{H}(p)$ , we look for a sublinear solution  $v_p$  to

$$
H(x, p + Dv_p(x)) = \mu
$$

Assume  $\overline{H}(p) = \mu$ , we look for p instead

$$
\frac{|p + v'(x)|^2}{2} - \mathbb{V}(\xi x) = \mu \quad \Longrightarrow \quad v(x) = \int_0^x \sqrt{2(\mu + \mathbb{V}(x))} \, dx - px
$$

Then

$$
\frac{v(x)}{x} = \frac{1}{x} \int_0^x \sqrt{2(\mu + \mathbb{V}(x))} \, dx - p \to 0
$$

With

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$$
p_{\mu} = \mathfrak{M}(\sqrt{2(\mu + \mathbb{V})}) = \int_{\mathbb{T}^n} \sqrt{2(\mu + \mathbb{V}(\mathbf{x}))} \ d\mathbf{x}.
$$

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## Sketch of the proof - 1

• If 
$$
H(x, p) = \frac{|p|^2}{2} + V(x)
$$
 then the Lagrangian  $L(x, v) = \frac{|v|^2}{2} - V(x)$ .

 $\bullet$  Let  $(x, t) = (0, 1)$ , use optimal control formula (action minimizing)

$$
A^{\varepsilon}[\eta] = \varepsilon \int_0^{\varepsilon^{-1}} L(\eta(s), -\dot{\eta}(s)) \; ds + u_0 \left( \varepsilon \eta(\varepsilon^{-1}) \right)
$$

and

$$
u^{\varepsilon}(0,1)=\inf_{\eta(0)=0}A^{\varepsilon}[\eta]
$$

<sup>3</sup> A minimizer has conservation of energy

$$
\frac{|\dot{\eta}(s)|^2}{2} + V(\eta(s)) = r
$$

**A** Rewrite

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$$
u^{\varepsilon}(0,1)=\inf_r\left(\inf_{\eta_r}A^{\varepsilon}[\eta_r]\right)
$$

 $\bullet$  For each energy r, averaging each terms of the action with rate

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## <span id="page-23-0"></span>Sketch of the proof - 2

**0** Lower bound is easy

$$
A^{\varepsilon}[\eta_r] \geq u(0,1) + \inf_{|p| \geq p_0} \varepsilon v_p(\eta(\varepsilon^{-1}))
$$

**@** Lower bound correspond to decay rate of corrector  $\frac{\nu_\rho(x)}{|x|}$  as  $|x| \to \infty$ , i.e., convergence rate to the mean value

$$
\left|\frac{1}{\mathcal{T}}\int_0^{\mathcal{T}} \mathbb{V}^{1/2}(\xi x) d\mathbf{x} - \mathbb{M}(\mathbb{V}^{1/2})\right| \leq \frac{C}{\mathcal{T}^{\theta}}
$$

**3** For  $|p| > p_0$ 

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$$
\left|\frac{v_p(t)}{t}\right| \leq \left|\frac{1}{t}\int_0^t \mathbb{F}_{\mu}(\xi x) dx - \mathfrak{M}(\mathbb{F}_{\mu})\right| \leq \left\{\frac{C|t|^{-1}}{C|t|^{-\frac{\alpha}{\alpha+n-1}}|\log(t)|^{3(n-1)}}
$$

- The first case happens for  $\mathbb{F} \in H^s(\mathbb{T}^n)$  (*s* > *n*/2 + *σ*<sub>ξ</sub>)<br>• The second case happens for a.e. *ξ* ∈ ℝ<sup>*n*</sup> with  $\mathbb{F} \in C^{0,\alpha}(\mathbb{T}^n)$ .
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# Sketch of the proof - 3

- <sup>1</sup> Upper bound is harder, obtainable when negative energy r *<* 0 does not play a role, i.e.,  $\overline{H} \in \mathcal{C}^1$
- **2** Look at

$$
A^{\varepsilon}[\eta_r] = (\varepsilon \eta_r(\varepsilon^{-1})) \underbrace{\left(\frac{1}{\eta_r(\varepsilon^{-1})} \int_0^{\eta_r(\varepsilon^{-1})} \sqrt{2(r - \mathbb{V}(\xi \times))} \, dx\right)}_{p_r = \mathbb{M}(\sqrt{2(r - \mathbb{V})})} + u_0(\varepsilon \eta_r(\varepsilon^{-1}).
$$

**6** The difficult term is

$$
\varepsilon \eta_r(\varepsilon^{-1}) \qquad \longleftrightarrow \qquad \frac{\eta(t)}{t} \to q \in \partial \overline{H}
$$

This is the large time average of calibrated curve to a rotation vector.

 $\bullet$  Difficult to do directly in a uniform way as  $r\to 0^+$ , by Euler-Lagrange equation

$$
\frac{1}{\varepsilon\eta(\varepsilon^{-1})}=\frac{1}{\eta(\varepsilon^{-1})}\int_0^{\eta(\varepsilon^{-1})}\frac{dx}{\sqrt{2(r-\mathbb{V}(\xi x))}}\to\mathcal{M}\left(\frac{1}{\sqrt{2(r-\mathbb{V})}}\right)
$$

 $\bullet$  Using Hamilton–Jacobi equation: uniform in  $r\rightarrow 0^+$ 

$$
\overline{H} \in C^{1,\beta} \qquad \Longrightarrow \qquad \left| \frac{\eta_r(t)}{t} - \overline{H}'_+(p_r) \right| \leq C \varepsilon^{\frac{\beta}{1+\beta}}.
$$

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# Application to ergodic estimate

For 
$$
\mathbb{V}(x_1, x_2) = (2 - \sin(2\pi x_1) - \sin(2\pi x_2))^{\gamma}
$$
 and  $\xi = (\xi_1, \xi_2)$  with  $\frac{\xi_2}{\xi_1}$  is badly approximable,  $H(x, p) = \frac{|p|^2}{2} - \mathbb{V}(\xi x)$ , then

$$
\left|\frac{\eta(t)}{t} - \overline{H}'(\rho)\right| \leq \begin{cases} C|t|^{-\frac{\gamma-2}{3\gamma-2}} & \gamma > 2 \\ C|t|^{-\frac{2-\gamma}{2(2+\gamma)}} & \gamma < 2 \\ C|\log(t)|^{-1} & \gamma = 2. \end{cases}
$$

Consequently

$$
\left|\frac{1}{\mathcal{T}}\int_0^{\mathcal{T}}\frac{dx}{\mathbb{V}^{1/2}(\xi x)}-\int_{\mathbb{T}^2}\frac{dx}{\mathbb{V}(x)}\right|\leq C\left(\frac{1}{\mathcal{T}}\right)^{\frac{2-\gamma}{2(2+\gamma)}}\qquad\gamma<2
$$

while

$$
\frac{1}{T} \int_0^T \frac{dx}{\sqrt{1/2}(\xi x)} \ge \begin{cases} C\left(\frac{1}{T}\right)^{\frac{\gamma-2}{3\gamma-2}} & \gamma > 2\\ \frac{C}{|\log(T)|} & \gamma = 2. \end{cases}
$$

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For zero energy 
$$
r = 0
$$

$$
\overline{H} \in \mathcal{C}^{1,\alpha} \longrightarrow \varepsilon^{\frac{\alpha}{1+\alpha}} \longrightarrow \varepsilon^{\frac{\alpha(\alpha+1)}{\alpha(\alpha+1)+1}}
$$

We have

$$
\left|\frac{\eta_0(t)}{t}\right| \le \left(\frac{1}{|t|}\right)^\tau \qquad \text{where } \tau = \frac{(\gamma - 2)(3\gamma - 2)}{(\gamma - 2)(3\gamma - 2) + 4\gamma^2}.
$$

If this holds uniformly for  $\eta_r$  as  $r \to 0^*$  then we can improve the rate of homogenization

- $\bullet$  Nonsmooth  $\overline{H}$ ?
- **3** Gaps in the quantitative estimate using two different methods?

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