### Rate of convergence for quasi-periodic homogenization of Hamilton-Jacobi equation and application

#### Son Tu

Michigan State University joint with Jianlu Zhang and Bingyang Hu

BOSTON-KEIO-TSINGHUA WORKSHOP 2024: Differential Equations, Dynamical Systems and Applied Mathematics

May 31, 2024



- 1 Introduction
- 2 Homogenization
- 3 Rate of convergence
- 4 Application to Ergodic Estimate

- 1 Introduction
- 2 Homogenization
- Rate of convergence

#### Ergodic estimate

Introduction 00000

> **1** Given  $\mathbb{F} \in C(\mathbb{T}^n)$  and  $\xi = (\xi_1, \xi_2, \dots, \xi_n)$  be a non-resonant vector, i.e.,  $\xi \cdot \kappa \neq 0$ for  $\kappa \in \mathbb{Z}^n \setminus \{0\}$ , then for  $f(x) = \mathbb{F}(\xi x)$  in  $\mathbb{R}$

$$\lim_{T\to\infty}\frac{1}{T}\int_0^T\mathbb{F}(\xi x)\ dx=\mathfrak{M}(f):=\int_{\mathbb{T}^n}\mathbb{F}(\mathbf{x})\ d\mathbf{x}.$$

**a** If  $\mathbb{F}$  is unbounded, then what about

$$\lim_{T\to\infty}\frac{1}{T}\int_0^T\frac{dx}{\mathbb{F}(\xi x)}=\mathcal{M}(f^{-1}):=\int_{\mathbb{T}^n}\frac{dx}{\mathbb{F}(\mathbf{x})}$$

given that  $x \mapsto \frac{1}{F(\mathcal{E}x)}$  is well-defined in  $\mathbb{R}$ ?

3 Rate of convergence? Example (result from our work):  $\mathbb{F}(x_1, x_2) = (2 - \sin(2\pi x_1) - \sin(2\pi x_2))^{1/2}$  for  $\mathbf{x} = (x_1, x_2) \in \mathbb{T}^2$ , then

$$\left|\frac{1}{T}\int_0^T \frac{dx}{\mathbb{F}(\xi x)} - \int_{\mathbb{T}^2} \frac{d\mathbf{x}}{\mathbb{F}(\mathbf{x})}\right| \leq \frac{C}{T^{1/6}} \qquad \text{if } \frac{\xi_2}{\xi_1} \text{ badly approximable.}$$

Consequence from homogenization of Hamilton-Jacobi equation

# Viscosity solutions - Definition

Introduction 00000

Let  $\Omega \subset \mathbb{R}^n$  be open, bounded, we consider the fully nonlinear PDE

$$F(x, u, Du, D^2u) = 0$$
 in  $\Omega$ .

F is non-decreasing in u, non-increasing in  $D^2u$  (degenerate elliptic).

→ No integration by parts, only maximum principle.

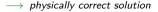
**Subsolution:** 
$$\varphi \in C^2$$
,  $u - \varphi$  max at  $x$ :

$$F(x, u(x), D\varphi(x), D^2\varphi(x)) \leq 0$$

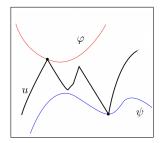
**Supersolution:** 
$$\psi \in \mathbb{C}^2$$
,  $u - \psi$  min at  $x$ :

$$F(x, u(x), D\psi(x), D^2\psi(x)) \geq 0$$

Viscosity solution is both subsolution and supersolution.



$$\longrightarrow$$
 value function in optimal control theory



# Vanishing viscosity - Eikonal equation

Introduction 00000

> The minimal amount of time required to travel from a point to the boundary with constant cost 1 is model by

$$|u'(x)| = 1$$
 in  $(-1,1)$  with  $u(-1) = u(1) = 0$ .

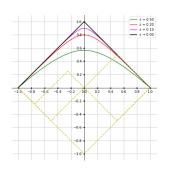
Infinitely many a.e. solutions, physically correct solution: u(x) = 1 - |x|.

Approximated equation with unique solution

$$\begin{cases} |(u^\varepsilon)'| = 1 + \varepsilon(u^\varepsilon)'' & \text{in } (-1,1), \\ u^\varepsilon(-1) = u^\varepsilon(1) = 0. \end{cases}$$

Vanishing viscosity

$$u^{\varepsilon}(x) = 1 - |x| + \varepsilon \left(e^{-1/\varepsilon} - e^{-|x|/\varepsilon}\right) \to u(x)$$



# Optimal control theory - An infinite horizontal example

Let U be a compact metric space. A control is a Borel measurable map  $\alpha:[0,\infty)\mapsto U$ . We are given:

$$\begin{cases} b = b(\mathsf{x},\mathsf{a}): \overline{\Omega} \times U \to \mathbb{R}^n & \text{velocity vector field} \\ f = f(\mathsf{x},\mathsf{a}): \overline{\Omega} \times U \to \mathbb{R} & \text{running cost.} \end{cases}$$

For  $x \in \mathbb{R}^n$  and a control  $\alpha(\cdot)$ , let  $y^{x,\alpha}(t)$  solves

$$\dot{y}(t) = b(y(t), \alpha(t)), \qquad t > 0, \qquad \text{and} \qquad y(0) = x$$

**Question.** Minimize the cost functional ( $\lambda > 0$ )

$$u(x) = \inf_{\alpha(\cdot)} \int_0^\infty e^{-\lambda s} f(y^{x,\alpha}(s), \alpha(s)) ds.$$

Define  $H(x, p) = \sup_{v \in \mathcal{U}} (-b(x, v) \cdot p - f(x, v))$  then

$$\lambda u(x) + H(x, Du(x)) = 0 \text{ in } \mathbb{R}^n$$

assuming that  $u \in \mathbb{C}^{\infty}$  (using optimality or dynamic programming principle). However the value function is usually not smooth!

- 1 Introduction
- 2 Homogenization
- Rate of convergence

#### Homogenization

In 1987, Lions, Papanicolaous and Varadhan [Lions-Papanicolaou-Varadhan'86] proved the homogenization result for a periodic, coercive Hamiltonian (possibly nonconvex)

$$\begin{cases} u_t^{\varepsilon} + H\left(\frac{x}{\varepsilon}, Du^{\varepsilon}\right) = 0 & \text{in } \mathbb{T}^n \times \mathbb{R}^n \\ u^{\varepsilon}(x, 0) = u_0(x) & \text{in } \mathbb{T}^n. \end{cases}$$

As  $\varepsilon \to 0^+$ ,  $\mu^{\varepsilon} \to \mu$  and  $\mu$  solves

$$\begin{cases} u_t + \overline{H}(Du) = 0 & \text{in } \mathbb{T}^n \times \mathbb{R}^n \\ u(x,0) = u_0(x) & \text{in } \mathbb{T}^n. \end{cases}$$

H(p) is the unique constant such that the ergodic (cell) problem can be solve

$$H(x, p + Dv(x)) = \overline{H}(p)$$
 in  $\mathbb{T}^n$ .

 $\overline{H}(p)$  is called:

- effective Hamiltonian
- ergodic constant
- additive eigenvalue of H

- $\alpha$  -function in dynamical system
- Máne's critical value
- 6 . . .



## Homogenization - Example

In 1D, if

$$H(x, p) = \frac{|p|^2}{2} + V(x),$$

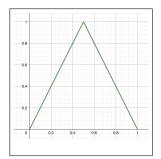
where

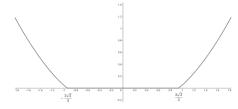
$$V(x) = \begin{cases} 2x & x \in \left[0, \frac{1}{2}\right], \\ -2x + 2 & x \in \left[\frac{1}{2}, 1\right]. \end{cases}$$

Then

$$|p|=rac{2\sqrt{2}}{3}\left[\left(\overline{H}(p)+1\right)^{rac{3}{2}}-\overline{H}(p)^{rac{3}{2}}
ight].$$

Then  $\overline{H}$  takes the form





#### Homogenization - Heuristic

- Introduce  $y = \frac{x}{\varepsilon}$  as a fast variable,  $x = \varepsilon y$  is a slow variable.
- Ansatz:  $u^{\varepsilon}(x,t) = u^{0}(x,y,t) + \varepsilon u^{1}(x,y,t) + \varepsilon^{2} u^{2}(x,y,t) + \dots$
- Plug in the equation  $u_t + H(\frac{x}{a}, Du) = 0$

$$u_t^0(x, y, t) + H(y, D_x u^0(x, y, t) + \varepsilon^{-1} D_y u^0(x, y, t) + D_y u^1(x, y, t)) = 0.$$

•  $D_{\nu}u^0 = 0$ , i.e.,  $u^0 = u^0(x, t)$  independent of y

$$H\left(y, \boxed{D_{x}u^{0}(x,t)} + D_{y}u^{1}(x,y,t)\right) = \boxed{-u_{t}^{0}(x,t)}$$

Ergodic or cell problem (fox a fixed (x, t))

$$H\left(y, p + D_y u^1(y)\right) = \overline{H}(p)$$

#### Homogenization

The above ansatz gives

$$u^{\varepsilon}(x,t) \approx u^{0}(x,t) + \varepsilon u^{1}\left(\frac{x}{\varepsilon}\right) + \mathcal{O}(\varepsilon^{2}).$$

- This means in homogenization as  $\varepsilon \to 0$  then  $u^{\varepsilon} \to u^0$ .
- $v = u^1$  is a corrector

$$u^{\varepsilon}(x,t) = u(x,t) + \varepsilon v\left(\frac{x}{\varepsilon}; Du(x,t)\right).$$

where

$$H(x, p + Dv(x; p)) = \overline{H}(p).$$

Solution v is not unique (up to adding a constant).

• If v is bounded then (the expected optimal rate)

$$|u^{\varepsilon}-u|=\mathfrak{O}(\varepsilon).$$

• Via doubling variable method: can prove the convergence, but not the expansion.



- Introduction
- 3 Rate of convergence
- 4 Application to Ergodic Estimate

This received guite a lot of attention in the past twenty years.

Assume:  $x \mapsto H(x, p)$  is Lipschitz locally in p

- [Capuzzo-Dolcetta-Ishii'01]:  $\mathcal{O}(\varepsilon^{1/3})$ , PDE method, nonconvex and multi-scale  $H(x, \frac{x}{2}, Du^{\varepsilon}) \to \overline{H}(x, Du)$ .: many works use this method
- $\mathbb{O}(arepsilon^{1/2})$  if there is a Lipschitz selection  $p\mapsto v(\cdot,p)$  of the cell problem

$$H(x, p + Dv(x; p)) = \overline{H}(p).$$

Rate of convergence 0000000000000

#### Convex Hamiltonian

- $O(\varepsilon)$  in 1D [Mitake-Tran-Yu'19] and [Tu'18] for 1D multi-scale.
- Conditional  $\mathcal{O}(\varepsilon)$  under smoothness assumption of  $\overline{H}$  [Mitake-Tran-Yu'19]. first group utilized optimal control, optimal curve and metric distance
- Optimal rate  $O(\varepsilon)$  [Tran-Yu'21]. Burago Lemma and the metric distance.
- $\mathfrak{O}(\varepsilon^{1/2})$  for multi-scale using Burago Lemma [Han-Jang'23].
- [Armstrong-Cardaliaguet-Souganidis'14]: followed [Capuzzo-Dolcetta-Ishii'01],  $\mathcal{O}(\varepsilon^{1/8})$  for i.i.d, an abstract modulus  $\omega(\varepsilon)$  for the almost periodic (PDE method).



#### Almost periodic homogenization

• For  $f \in \mathrm{BUC}(\mathbb{R}^n)$ , we way it is almost periodic if  $\{f(\cdot + z) : z \in \mathbb{R}^n\}$  is relatively compact in  $BUC(\mathbb{R}^n)$ .

periodic : 
$$x \mapsto H(x, p)$$
 is  $\mathbb{Z}^n$  periodic almost-periodic : $\{H(\cdot + z, \cdot) : z \in \mathbb{R}^n\}$  is relatively compact in  $\mathrm{BUC}(\mathbb{R}^n \times B_R(0))$ .

In one-dimensional case, for examle

$$H(x,p) = \frac{|p|^2}{2} - V(x),$$
  $V(x) = 2 - \sin(2\pi x) - \sin(2\pi\sqrt{2}x).$ 

Quasi-periodic potential in 1D:  $x \in \mathbb{R}$ 

$$V(x) = F(\xi x)$$
 where  $F \in C^k(\mathbb{T}^k), \ \xi \in \mathbb{R}^k$  is nonresonant.

The corrector is replaced by almost corrector [Ishii'00]

$$\overline{H}(p) - \delta \leq H(y, p + Dv_{\delta}(y; p)) \leq \overline{H}(p) + \delta.$$

#### Almost periodic function in 1D

First studied by Bohr (1926):

• For  $\varepsilon > 0$ .  $\tau$  is an  $\varepsilon$ -period. if

$$|f(x+\tau)-f(x)|<\varepsilon$$
 for all  $x\in\mathbb{R}$ .

Rate of convergence

We say  $E(\varepsilon, f) = \{ \tau \in \mathbb{R} : |f(x + \tau) - f(x)| < \varepsilon \}$  the set of all  $\varepsilon$ -periods.

•  $f \in AP(\mathbb{R})$  if for  $\varepsilon > 0$ , there exists  $I_{\varepsilon}$  such that, for every  $a \in \mathbb{R}$ 

$$[a, a+I_{\varepsilon}] \cap E(\varepsilon, f) \neq \emptyset$$

any interval of length  $I_{\varepsilon}$  has an  $\varepsilon$ -period

- We say  $l_{\varepsilon}$  is an inclusion interval length of  $E(\varepsilon, f)$ .
- Mean value property If  $f \in AP(\mathbb{R})$

$$\lim_{T\to\infty}\frac{1}{T}\int_0^T f(x)dx=\mathfrak{M}(f).$$

• If  $f(x) = F(\xi x)$  is quasi-periodic, then

$$\lim_{T\to\infty}\frac{1}{T}\int_0^T f(x)dx = \mathcal{M}(f) = \int_{\mathbb{T}^n} F(\mathbf{x}) d\mathbf{x}.$$



## Convergence to the mean value

If f is periodic of period 1, then  $\mathfrak{M}(f) = \int_0^1 f(x) dx$ , and

$$\left|\frac{1}{T}\int_0^T f(x)\ dx - \mathfrak{M}(f)\right| \leq \left(\int_0^1 f(x)dx\right)\frac{1}{T}.$$

Rate of convergence

Key ingredient for periodic homogenization rate  $\mathcal{O}(\varepsilon)$  in 1D [Mitake-Tran-Yu'19, Tu'18].

• (Almost-periodic) For every  $\varepsilon > 0$ 

$$\left|\frac{1}{T}\int_0^T f(x)\ dx - \mathfrak{M}(f)\right| \leq \varepsilon + 2\|f\|_{L^{\infty}(\mathbb{R})} \frac{I_{\varepsilon}(f)}{T}.$$

Need an estimate of  $l_{\varepsilon}(f)$  with respect to  $\varepsilon$ , but good as only  $L^{\infty}$  is needed.

• (Quasi-periodic) If  $f(x) = \mathbf{F}(\xi x)$  and  $\mathbf{F} \in H^s(\mathbb{T}^n)$  for  $s > \frac{n}{2} + \sigma_{\mathcal{E}}$  then

$$\left|\frac{1}{T}\int_0^T \mathbb{F}(\xi x) \ dx - \int_{\mathbb{T}^n} \mathbf{F}(\mathbf{x}) \ d\mathbf{x}\right| \leq \frac{C(n,s)\|\mathbf{F}\|_{H^s(\mathbb{T}^n)}}{T}.$$

Here  $\sigma_{\mathcal{E}}$  is a Diophantine condition of  $\xi$ :

$$\xi \cdot \kappa \geq \frac{C}{|\kappa|^{\sigma}} \quad \forall \kappa \in \mathbb{Z}^n.$$

Need higher regularity, not applicable for some potentials.



#### Diophantine Approximations

For almost periodic f

$$\left|\frac{1}{T}\int_0^T f(x)\ dx - \mathfrak{M}(f)\right| \leq \varepsilon + 2\|f\|_{L^{\infty}(\mathbb{R})} \frac{I_{\varepsilon}(f)}{T}.$$

For quasi-periodic  $f(x) = \mathbf{F}(\xi x)$  with  $\mathbf{F} \in C^{0,\alpha}(\mathbb{T}^n)$ 

• [Nai96] n = 2, badly approximable (null set)

$$I_{\varepsilon}(f) \leq C \varepsilon^{\frac{-1}{\alpha}}$$

[Ryn98] almost every n-frequencies

$$I_{\varepsilon}(f) \leq C \varepsilon^{-\frac{n-1}{\alpha}} |\log(\varepsilon)|^{3(n-1)}$$

## **Theorem** (Hu-Tu-Zhang '24): In 1D with H is convex, coercive $(\frac{1}{2}|p|^2)$ for simplicity)

$$H(x,p) = \frac{|p|^2}{2} - V(x), \qquad V(x) = \mathbb{V}(\xi x), \mathbb{V} \in \mathrm{C}(\mathbb{T}^n), \mathbb{V} \geq 0.$$

There is  $C(n, \alpha, \xi, V)$  such that

$$u^{\varepsilon}(x,t)-u(x,t)\geq \begin{cases} -C\varepsilon & \mathbb{V}^{1/2}\in H^{s}(\mathbb{T}^{n}), s>n/2+\sigma_{\xi}, \\ -C\varepsilon^{\frac{\alpha}{\alpha+n-1}}|\log(\varepsilon)|^{3(n-1)} & \text{for a.e. } \xi,\mathbb{F}\in C^{\alpha}(\mathbb{T}^{n}), \\ -C\varepsilon^{\frac{\alpha}{\alpha+1}} & n=2,\xi \text{ badly approximable}. \end{cases}$$

If  $\overline{H} \in C^{1,\beta}(\mathbb{R})$  then

$$u^{\varepsilon}(x,t)-u(x,t) \leq \begin{cases} C\varepsilon^{\frac{\beta}{\beta+1}} & \mathbb{V}^{1/2} \in H^{s}(\mathbb{T}^{n}), s > n/2+\sigma_{\xi}, \\ C\varepsilon^{\frac{\beta}{\beta+1}} \frac{\alpha}{\alpha+n-1} |\log(\varepsilon)|^{3(n-1)} & \text{for a.e. } \xi, \mathbb{F} \in C^{\alpha}(\mathbb{T}^{n}), \\ C\varepsilon^{\frac{\beta}{\beta+1}} \frac{\alpha}{\alpha+1} & n = 2, \xi \text{ badly approximable.} \end{cases}$$

#### Place in the literature

- First algebraic rate for almost periodic setting (only abstract modulus rate, PDE method in the literature).
- **2** the relation between how irrational of  $\xi$  and the regularity of  $\mathbb{X}$  is intricate.

#### Case study

**Examples**  $\mathbb{V}(x,y) = (2-\sin(2\pi x)-\sin(2\pi y))^{\gamma}$  and  $\xi = (1,\sqrt{2})$ .

$$H(x,p) = \frac{|p|^2}{2} - \left(2 - \sin(2\pi x) - \sin(2\pi\sqrt{2}x)\right)^{\gamma}, \qquad \gamma > 0.$$

Consider the homogenization problem in 1D

$$\begin{cases} u_t^{\varepsilon} + H\left(\frac{x}{\varepsilon}, Du^{\varepsilon}\right) = 0 \\ u^{\varepsilon}(x, 0) = u_0(x) \end{cases} \longrightarrow \begin{cases} u_t + \overline{H}(Du) = 0 \\ u(x, 0) = u_0(x) \end{cases}$$

Then

#### Idea of the proof

$$\boxed{\frac{\textit{v}_p(t)}{t} = \textit{O}\left(\frac{1}{t^\alpha}\right) \text{ as } t \to \infty} \leq u^\varepsilon - u \leq \begin{cases} \text{shape and regularity of } \overline{H} \\ \text{averaging optimal path :} \\ \left|\frac{\eta(t)}{t} - \overline{H}'(p)\right| \leq \textit{O}\left(\frac{1}{t^\beta}\right). \end{cases}$$

• Lower bound is easy: decay rate of correctors and Hopf-Lax formula

$$\mathcal{M}(f)$$

Upper bound is harder: long time average of characteristic (calibrated curve)

$$\mathcal{M}(f^{-1})$$

## To compute $\overline{H}(p)$ , we look for a sublinear solution $v_p$ to

 $H(x, p + Dv_p(x)) = \mu$ 

$$H(x, p + Dv_p(x)) = f$$

Rate of convergence 0000000000000

Assume  $\overline{H}(p) = \mu$ , we look for p instead

$$\frac{|p+v'(x)|^2}{2} - \mathbb{V}(\xi x) = \mu \quad \Longrightarrow \quad v(x) = \int_0^x \sqrt{2(\mu + \mathbb{V}(x))} \ dx - px$$

Then

$$\frac{v(x)}{x} = \frac{1}{x} \int_0^x \sqrt{2(\mu + \mathbb{V}(x))} \ dx - p \to 0$$

With

$$ho_{\mu}=\mathfrak{M}(\sqrt{2(\mu+\mathbb{V})})=\int_{\mathbb{T}^n}\sqrt{2(\mu+\mathbb{V}(\mathsf{x}))}\;d\mathsf{x}.$$



# Sketch of the proof - 1

- If  $H(x,p) = \frac{|p|^2}{2} + V(x)$  then the Lagrangian  $L(x,v) = \frac{|v|^2}{2} V(x)$ .
- **2** Let (x, t) = (0, 1), use optimal control formula (action minimizing)

$$A^{arepsilon}[\eta] = arepsilon \int_0^{arepsilon^{-1}} L(\eta(s), -\dot{\eta}(s)) \ ds + u_0 \left( arepsilon \eta(arepsilon^{-1}) 
ight)$$

Rate of convergence

and

$$u^{\varepsilon}(0,1) = \inf_{\eta(0)=0} A^{\varepsilon}[\eta]$$

A minimizer has conservation of energy

$$\frac{|\dot{\eta}(s)|^2}{2} + V(\eta(s)) = r$$

Rewrite

$$u^{arepsilon}(0,1)=\inf_{r}\left(\inf_{\eta_{r}}A^{arepsilon}[\eta_{r}]
ight)$$

 $\bullet$  For each energy r, averaging each terms of the action with rate



#### Sketch of the proof - 2

Lower bound is easy

$$A^{\varepsilon}[\eta_r] \geq u(0,1) + \inf_{|p| \geq p_0} \varepsilon v_p(\eta(\varepsilon^{-1}))$$

**Q** Lower bound correspond to decay rate of corrector  $\frac{v_p(x)}{|x|}$  as  $|x| \to \infty$ , i.e., convergence rate to the mean value

$$\left|\frac{1}{T}\int_0^T \mathbb{V}^{1/2}(\xi x) \ d\mathbf{x} - \mathfrak{M}(\mathbb{V}^{1/2})\right| \leq \frac{C}{T^{\theta}}$$

**3** For  $|p| > p_0$ 

$$\left|\frac{v_p(t)}{t}\right| \leq \left|\frac{1}{t} \int_0^t \mathbb{F}_{\mu}(\xi x) \ dx - \mathfrak{M}(\mathbb{F}_{\mu})\right| \leq \begin{cases} C|t|^{-1} \\ C|t|^{-\frac{\alpha}{\alpha+n-1}}|\log(t)|^{3(n-1)} \end{cases}$$

- The first case happens for  $\mathbb{F} \in H^s(\mathbb{T}^n)$   $(s > n/2 + \sigma_{\mathcal{E}})$
- The second case happens for a.e.  $\xi \in \mathbb{R}^n$  with  $\mathbb{F} \in C^{0,\alpha}(\mathbb{T}^n)$ .

#### Sketch of the proof - 3

- ${\bf 0}$  Upper bound is harder, obtainable when negative energy r<0 does not play a role, i.e.,  $\overline{H}\in {\cal C}^1$
- 2 Look at

$$A^{\varepsilon}[\eta_r] = (\varepsilon \eta_r(\varepsilon^{-1})) \underbrace{\left(\frac{1}{\eta_r(\varepsilon^{-1})} \int_0^{\eta_r(\varepsilon^{-1})} \sqrt{2(r - \mathbb{V}(\xi x))} \, dx\right)}_{p_r = \mathcal{M}(\sqrt{2(r - \mathbb{V})})} + u_0(\varepsilon \eta_r(\varepsilon^{-1}).$$

The difficult term is

$$arepsilon \eta_r(arepsilon^{-1}) \qquad \longleftrightarrow \qquad rac{\eta(t)}{t} 
ightarrow q \in \partial \overline{H}$$

This is the large time average of calibrated curve to a rotation vector.

 ${\bf 0}$  Difficult to do directly in a uniform way as  $r \rightarrow 0^+,$  by Euler-Lagrange equation

$$\frac{1}{\varepsilon \eta(\varepsilon^{-1})} = \frac{1}{\eta(\varepsilon^{-1})} \int_0^{\eta(\varepsilon^{-1})} \frac{dx}{\sqrt{2(r - \mathbb{V}(\xi x))}} \to \mathfrak{M}\left(\frac{1}{\sqrt{2(r - \mathbb{V})}}\right)$$

**6** Using Hamilton–Jacobi equation: uniform in  $r \to 0^+$ 

$$\overline{H} \in C^{1,\beta} \qquad \Longrightarrow \qquad \left| \frac{\eta_r(t)}{t} - \overline{H}'_+(p_r) \right| \leq C \varepsilon^{\frac{\beta}{1+\beta}}.$$

- Introduction
- 2 Homogenization
- Rate of convergence
- 4 Application to Ergodic Estimate



#### Application to ergodic estimate

For  $\mathbb{V}(x_1, x_2) = (2 - \sin(2\pi x_1) - \sin(2\pi x_2))^{\gamma}$  and  $\xi = (\xi_1, \xi_2)$  with  $\frac{\xi_2}{\xi_1}$  is badly approximable,  $H(x,p) = \frac{|p|^2}{2} - \mathbb{V}(\xi x)$ , then

$$\left|\frac{\eta(t)}{t} - \overline{H}'(p)\right| \le \begin{cases} C|t|^{-\frac{\gamma-2}{3\gamma-2}} & \gamma > 2\\ C|t|^{-\frac{2-\gamma}{2(2+\gamma)}} & \gamma < 2\\ C|\log(t)|^{-1} & \gamma = 2. \end{cases}$$

Consequently

$$\left|\frac{1}{T}\int_0^T \frac{dx}{\mathbb{V}^{1/2}(\xi x)} - \int_{\mathbb{T}^2} \frac{dx}{\mathbb{V}(x)}\right| \le C\left(\frac{1}{T}\right)^{\frac{2-\gamma}{2(2+\gamma)}} \qquad \gamma < 2$$

while

$$\frac{1}{T} \int_0^T \frac{dx}{\mathbb{V}^{1/2}(\xi x)} \ge \begin{cases} C\left(\frac{1}{T}\right)^{\frac{T-2}{3\gamma-2}} & \gamma > 2\\ \frac{C}{|\log(T)|} & \gamma = 2. \end{cases}$$

• For zero energy r=0

$$\overline{H} \in C^{1,\alpha} \longrightarrow \varepsilon^{\frac{\alpha}{1+\alpha}} \longrightarrow \varepsilon^{\frac{\alpha(\alpha+1)}{\alpha(\alpha+1)+1}}$$

We have

$$\left|\frac{\eta_0(t)}{t}\right| \leq \left(\frac{1}{|t|}\right)^{\tau} \qquad \text{where } \tau = \frac{(\gamma-2)(3\gamma-2)}{(\gamma-2)(3\gamma-2) + 4\gamma^2}.$$

If this holds uniformly for  $\eta_r$  as  $r \to 0^*$  then we can improve the rate of homogenization

- **a** Nonsmooth  $\overline{H}$ ?
- Gaps in the quantitative estimate using two different methods?



#### References I

[Capuzzo-Dolcetta-Ishii'01] I. Capuzzo-Dolcetta and H. Ishii. On the Rate of Convergence in Homogenization of

Hamilton-Jacobi Equations.

Indiana University Mathematics Journal, 50(3):1113-1129.

[Capuzzo-Dolcetta-Lions'90] I. Capuzzo-Dolcetta and P.-L. Lions. Hamilton-Jacobi Equations with State Constraints.

Transactions of the American Mathematical Society, 318(2):643-683, 1990.

[Han-Jang'23] Y. Han and J. Jang.

Rate of convergence in periodic homogenization for convex Hamilton-Jacobi equations with multiscales.

Nonlinearity, 36(10):5279-5297, 2023,

[Ishii'00] H. Ishii.

Almost periodic homogenization of Hamilton-Jacobi equations.

In International Conference on Differential Equations, Vol. 1, 2 (Berlin, 1999), pages 600-605. World Sci. Publ., River Edge, NJ, 2000.

[Lions-Papanicolaou-Varadhan'86] P.-L. Lions, G. Papanicolaou. and S. R. Varadhan.

Homogenization of Hamilton-Jacobi equations. Unpublished preprint, 1986.

[Mitake-Tran-Yu'19] H. Mitake, H. V. Tran, and Y. Yu. Rate of convergence in periodic homogenization of Hamilton-Jacobi equations: the convex setting. Arch. Ration. Mech. Anal., 233(2):901-934, 2019.

[Tran-Yu'21] H. V. Tran and Y. Yu.

Optimal convergence rate for periodic homogenization of convex Hamilton-Jacobi equations.

arXiv:2112.06896 [math], Dec. 2021. arXiv: 2112.06896.

[Tu'18] S. N. T. Tu.

Rate of convergence for periodic homogenization of convex Hamilton-Jacobi equations in one dimension.

Asymptot. Anal., 121(2):171-194, 2021.

[Armstrong-Cardaliaguet-Souganidis'14] Scott N. Armstrong, Pierre Cardaliaguet, and Panagiotis E. Souganidis.

Error Estimates and Convergence Rates for the Stochastic Homogenization of Hamilton-Jacobi Equations.

Journal of the American Mathematical Society. 27(2):479-540, 2014.

Publisher: American Mathematical Society.

[Cooperman'21] William Cooperman.

A near-optimal rate of periodic homogenization for convex Hamilton-Jacobi equations.

Arch. Ration. Mech. Anal., 245(2):809-817, 2022.

[Nai96] Koichiro Naito.

Fractal dimensions of almost periodic attractors.

Ergodic Theory and Dynamical Systems, 16(4):791-803, 1996

[Ryn98] Bryan P. Rynne.

The fractal dimension of quasi-periodic orbits.

Ergodic Theory Dynam. Systems, 18(6):1467-1471, 1998.